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## TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

Applicant

Naoto TSUJI, et al.

App. No

10/657,416

Filed

September 8, 2003

For

INTERLAYER INSULATION FILM

**USED FOR MULTILAYER** 

INTERCONNECT OF

SEMICONDUCTOR INTEGRATED

CIRCUIT AND METHOD OF MANUFACTURING THE SAME

Examiner

William C. Vesperman

**Art Unit** 

2813

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 17, 2005

(Date)

Katsuhiro Arai, Reg. No. 43,315

#### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing nine (9) references.
  - (X) Enclosing five (5) references.
- (X) A copy of the communication issued by the European Patent Office.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai

Registration No. 43,315

Attorney of Record

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(949) 760-0404

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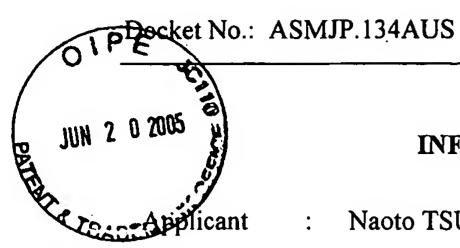
Commissioner for Patents, P.O. Box 1450,

June 17, 2005

(Date)

Katsuhiro Arai, Reg. No. 43,315

Alexandria, VA 22313-1450, on



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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing nine (9) references to be considered by the Examiner. Also enclosed are five (5) foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

#### CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 17, 2005

By: Katsuhiro Arai

Registration No. 43,315

Attorney of Record Customer No. 20,995

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1766548 1 061605

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. 10/657,416

Filing Date September 8, 2003

First Named Inventor Naoto TSUJI, et al.

Art Unit 2813

Examiner William C. Vesperman

(Multiple sheets used when necessary)
SHEET 1 OF 1

Attorney Docket No. | ASMJP.134AUS

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	1.	6,374,770 B1	04/23/2002	Peter W. LEE, et al.			
	2.	6,383,917 B1	05/07/2002	Neal J. COX			
	3.	2002/0106891A1	08/08/2002	Jae-Hak KIM, et al.			
	4.	2003/042605 A1	03/06/2003	Ebrahim ANDIDEH, et al.			
			<u> </u>				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т1	
	5.	WO 99/55526 A	11/04/1999	Peter ROSE, et al.			
	6.	EP 1 122 770 A2	08/08/2001	Atsushi SHIOTA, et al.			
	7.	EP 1 148 539 A2	10/24/2001	Tzu-Fang HUANG, et al.			
	8.	EP 1 201 795 A1	05/02/2002	Srinivas D. NEMANI, et al.			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>		
	9.	Hean Ju LEE, et al., "The mechanical properties of the SiOC(-H) composite thin films with a low dielectric constant" SURFACE & COATING TECHNOLOGY, JOINT INTERNATIONAL PLASMA SYSMPOSIUM OF THE 6 <sup>TH</sup> ASIA-PACIFIC CONFERENCE ON PLASMA SCIENCE & TECHOLOGY, JEJU ISLAND, SOUTH KOREA, vol. 171 no. 1-3, July 1, 2002- July 4, 2002, ELSEVIER, SWITZERLAND, pages 296, 300			

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Examiner Signature

**Date Considered** 

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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